

# IBM FlashCore Module Product Guide

Features the newly available FCM4 with Al-powered ransomware detection

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## IBM FlashCore Modules Product Guide

This IBM® Redpaper Product Guide describes the IBM FlashCore Modules (FCM) history, a general overview and then a deeper dive on the way IBM leads the field in the adoption of high speed, low latency storage.

FCM is used in the latest IBM FlashSystem® solutions, IBM Elastic Storage® System (ESS) and the IBM Storage Scale System (SSS). FCM combines the performance of flash and a Non-Volatile Memory Express (NVMe) optimized architecture with the reliability and innovation of IBM FlashCore® technology and the rich feature set and high availability (HA) with IBM Storage Virtualize software. FCM is also a computational storage device off loading tasks from the storage controller enabling overall storage functionality.

**Note:** Check out the recent article by *Sam Werner, VP, IBM Storage Product Management* on the launch of the fourth-generation FCM technology.

# **Terminology**

Here are some explanations of the items commonly used when discussing *flash memory*.

## Memory cell states

The way data is stored in memory cells significantly impacts memory performance, density, and cost. The primary memory cell types include: *Single-Level Cells (SLC)*, *Multi-Level Cells (MLC)*, *Triple-Level Cells (TLC)*, and *Quad-Level Cells (QLC)*.

#### Single-Level Cells

- ► Single-Level Cells (SLC) memory stores one bit of data per cell, using two voltage states (logic 0 or logic 1).
- ► SLC memory offers higher write speeds, lower power consumption, and higher cell endurance compared to other memory types.
- ▶ Due to its higher transfer speeds and expected longer life, SLC flash technology is used in high-performance memory cards.

#### Multi-Level Cells

- Multi-Level Cells (MLC) memory stores multiple bits of data per cell, typically 2, 3, or 4 bits per cell.
- MLC memory has a lower cost per megabyte of storage compared to SLC memory, making it suitable for applications requiring higher storage density.
- ► MLC memory cells experience a higher error rate over time due to the shared nature of the floating gate transistor.

#### Triple-Level Cells

- ► Triple-Level Cells (TLC) memory stores three bits of data per cell, using 8 to 16 voltage states.
- ► TLC memory offers a lower cost per gigabyte of storage compared to SLC and MLC memory types.
- ► TLC memory has a higher error rate compared to SLC and MLC memory types.

#### Quad-Level Cells:

- Quad-Level Cells (QLC) memory stores four bits of data per cell, using 16 32 voltage states.
- ► QLC memory offers the lowest cost per gigabyte of storage among the memory types, but it has the highest error rate.
- ► The memory cell state determines the memory's performance, density, and cost. As technology advances, we continue to explore new ways to improve memory cell states and overall memory system performance.

## **NAND** terminology

The NAND terminology refers to the fundamental concepts and components involved in NAND flash memory technology. Understanding these terms is essential for discussing and evaluating NAND flash memory systems:

- ► The smallest piece of a NAND flash is a *cell*, which contains a single bit of data.
- ► Each cell is stored in a *page*. Each page can be written to, and they are the smallest piece of the NAND flash that can store data or be programmed.
- Groups of pages are called blocks.
- ► A group of chips, or dies, is called a *wafer*. A wafer is then sliced into individual dies, which are the smallest pieces that can be separately packaged and sold.
- ► A single NAND flash memory chip, or *die*, is what ends up being put on a *circuit board* in a finished product.

#### **Non-Volatile Memory Express**

NVM Express (NVMe) is an open collection of standards and information to fully expose the benefits of nonvolatile memory in all types of computing environments from mobile to data center. NVMe is a protocol that is built on Peripheral Component Interconnect Express (PCIe) standards.

The original NVM Express Work Group was incorporated as NVM Express in 2014 and is the consortium responsible for the development of the NVM Express specification. The organization currently has over 100 member companies.

## **NAND flash memory**

NAND flash memory is a type of nonvolatile storage technology that does not require power to retain data. An important goal of NAND flash development has been to reduce the cost per bit and to increase maximum chip capacity so that flash memory can compete with magnetic storage devices, such as hard disks. NAND flash has found a market in devices to which large files are frequently uploaded and replaced. NAND flash technology is used in a wide range of devices, including memory cards, USB drives, and solid-state drives (SSDs).

**Note:** Although it is true that NAND memory does not require power to retain stored information, it does need to be powered on at some point in the future to perform certain functions, such as erasing or programming data. When NAND memory is not powered, the data stored in the memory cells may slowly leak away due to leakage currents, which can lead to data loss over time. Therefore, it is essential to periodically power on NAND memory devices to ensure data integrity.

NAND flash stacks memory cells vertically in multiple layers, achieving a higher density than traditional NAND memory. NAND flash memory can be used in the same situations as traditional NAND memory, taking advantage of its higher storage density for the same footprint. However, going beyond QLC is difficult with the current technology used.

## How flash is evolving

Flash systems have undergone significant advancements in recent years, driven by the increasing demand for high-performance, high-density, and cost-effective storage solutions:

- ► Lithography (more cells per die).
  - Lithography reduces the width of the traces and the size of the individual transistors.
     For a while NAND vendors just reduced this lithography, which reduced the size of the cell in a 2-dimensional structure. Then endurance became difficult.
  - They then went 3 dimensional and did not rely as much on smaller lithography but on 3D stacking. The 176-layer stacking in the FCM4 represents a significant advancement in 3D NAND flash memory technology, enabling higher storage densities and improved performance compared to previous generations.
  - We will see 300 and more in the next few years.
- ► More bits per cell.
  - Signal processing to discriminate between multiple bits in a single cell. 1 bit (SLC), 2 bits (MLC), 3 bits (TLC), and 4 bits (QLC) per cell.
  - Voltage detection is a technique used to determine the voltage state of a NAND flash memory cell, which in turn helps determine the cell encoding level.
  - Analog reading of the cell voltage level determines the state. This is true for each of the cell types. QLC splits it into 16 voltage levels.
- ▶ More layers increase the density in 3D NAND.
- More dies per package.
  - More dies per package allows for increased density.
  - More dies also improve performance, as these dies can be accessed at the same time.
- Improved management.
  - Better management of NAND decreases wear and improves performance.

Figure 1 shows the different ways we can expand the NAND chips density.

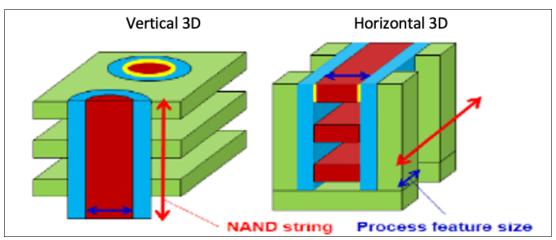


Figure 1 NAND types

With all NAND-based flash systems there are many challenges that need to be overcome to ensure high-speed access, low latency, and durability. Table 1 explains each of these functions and how IBM is addressing these within the design and FCM technology.

Table 1 Flash management challenges and solutions

Function	Description / Observations	Design goals			
Garbage Collection	<ul> <li>Reclaim invalidated space due to out-of-place writes.</li> <li>Relocation of valid data leads to write amplification (WA).</li> </ul>	<ul> <li>Smarter data placement using heat segregation reduces write amplification.</li> </ul>			
Wear Leveling	Traditional approaches equalize usage of flash cells by balancing Program/Erase (P/E) cycles of blocks. Wear leveling moves further increase write amplification (WA). As the drive fills up, the controller must move data and erase blocks before new data can be added. This process of erasing and writing (programming) data is referred to as the P/E cycle.	<ul> <li>Equalize block health instead of P/E cycles.</li> <li>Dynamic wear leveling: Smarter data placement using health binning.</li> <li>Static wear leveling: Reduce to a strict minimum to ensure retention targets.</li> </ul>			
Health Management	<ul> <li>Blocks that reach the error correction capability of the Error Correction Code (ECC) must be retired.</li> <li>Retired blocks eat up over-provisioning and ultimately limit device endurance even if there are still many good blocks available.</li> <li>Reference link for heat binning and heat segregation here.</li> </ul>	<ul> <li>Continuously monitor block health and shift threshold voltages accordingly.</li> <li>Actively narrow health distribution of all blocks with health binning. At end-of-life, remaining good blocks only have few P/E cycles left.</li> </ul>			

Function	Description / Observations	Design goals			
Error Detection & Correction	Industry-standard SSDs perform read-retry and/or rely on ECC schemes using soft information. Read latency deteriorates with age of the device.	<ul> <li>Stronger ECC that does not require read-retry.</li> <li>Variable Stripe Redundant Array of Independent Disks (RAID).</li> <li>Array-level RAID.</li> <li>For more information, see "Variable Stripe RAID" on page 11.</li> </ul>			
Data Reduction	Use NAND flash capacity efficiently, taking advantage of data that repeats. All NAND management preformed on data reduced sets.	<ul> <li>Scaling: Minimize impact to performance.</li> <li>Use standard methods: Dynamic Huffman, or GNU Zip.</li> </ul>			

## Floating Gate versus Charge Trap NAND technology

FCM1, FCM2, and FCM3 employed *Floating Gate* NAND flash. Each cell had its own Floating Gate to store the charge that represents either "1" or "0". On top of that IBM added significant technology around it.

In Floating Gate NAND flash, the electrons had a small surface area to escape. It worked well but had some limitations that became difficult to move past to continue delivering advanced features and performance.

As IBM developed FCM4, changes were taking place in the flash industry that meant all vendors would need to prepare for a new type of NAND flash known as *Charge Trap flash*.

In Charge Trap flash memory, electrons are trapped in every direction within a dielectric layer, which is located between the control gate and the floating gate. This architecture enables the creation of a more attractively priced solution, as it is easier to add layers and create better density compared to traditional floating gate memory.

The benefit to you is quick adoption of this new approach. Eventually, all vendors will use Charge Trap flash, but through FlashCore technology, IBM has been able to bring greatly enhanced endurance and performance to this new implementation of flash well ahead of other vendors.

## The history of IBM FCM

IBM has been delivering high-performance, highly-reliable customized flash modules for many years. FCM separated the control path and the data path within the module, ensuring that data could be accessed and transferred without any performance degradation caused by the control path. To enhance endurance and reliability, FCM modules implemented endurance features and RAID within the modules themselves. Numerous additional technologies and benefits were implemented.

Figure 2 shows the original IBM FlashCore MicroLatency Module used in the IBM FlashSystem 840 and 900 products on the left and the newer FCM on the right, as used in the current IBM FlashSystem product line.

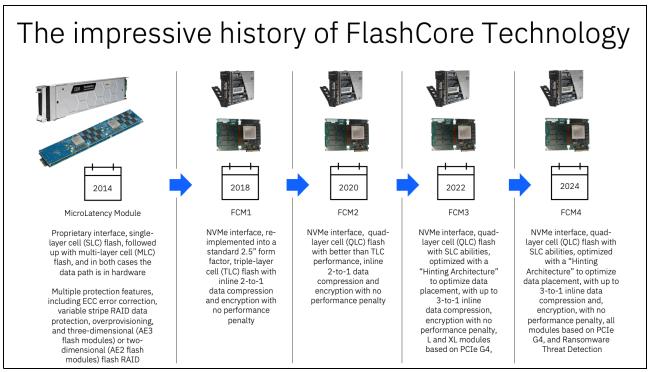


Figure 2 Evolution of FlashCore

In 2012, as flash drives entered the mainstream storage market, most vendors were willing to use off-the-shelf commodity flash drives. True value and efficiency would only be found in unique custom-built flash devices, rather than commodity off-the-shelf drives. On August 16, 2012, IBM announced that it had signed a definitive agreement to acquire Texas Memory Systems, who was a leading developer of high-performance flash memory solutions.

In 2014, IBM introduced MicroLatency Modules. These were custom-built flash media that employed a proprietary interface and used SLC flash. In the following years IBM delivered MicroLatency Modules employing MLC flash. To achieve maximum performance the data path was entirely in hardware. MicroLatency Modules had encryption and multiple protection features, including ECC, variable stripe RAID data protection, over provisioning, and 3D AE3 flash modules or 2D AE2 flash modules with flash RAID. AE3 modules also had compression and TLC level technology flash.

In 2018, IBM reengineered MicroLatency Modules and converted them to utilize a standard 2.5" form factor employing an NVMe interface. This moved the MicroLatency Module into a standard form factor and a standard interface. These new devices were known as FCM1. They were based on TLC flash and delivered 2-to-1 data compression and encryption with no performance penalty. Each FCM contained a large custom-designed flash controller that provided the penalty-free compression. Large numbers of FCM could be added to a FlashSystem array and performance would remain consistent.

In 2020, IBM introduce FCM2. These second-generation devices continued to use an NVMe interface, and in a surprising move they employed QLC flash. The rest of the storage industry largely avoided QLC because they felt it was too slow for primary storage. IBM shocked the industry by demonstrating that the QLC-based FCM delivered superior performance to their TLC-based predecessors. With FCM2, IBM completely switched to QLC. No one else has been able to do this. IBM Research and Development worked together to figure out how to get the endurance and performance needed to use QLC everywhere.

With FCM2, the module had the ability to compress data up to 2.3 to 1 if the drive was fully populated, meaning that the available physical space was fully utilized. The effective capacity, or the amount of capacity that can be addressed, is a measure of the actual usable space within the drive, taking into account factors such as data compression and wear leveling. For example, if you had 21 TB of data with 3 to 1 compressibility, you could store that in 7 TB on a 9.6 TB drive. However, you would only have 1 TB of addressing left because the FCM could go to 22 TB of effective addressing.

In 2022, IBM introduced FCM3. These third-generation devices continued to use an NVMe interface and QLC flash. IBM demonstrated their design genius by enabling these new modules to support high-performance SLC pages and high capacity QLC pages. IBM delivered improved performance and efficiency with Hinting Architecture to optimize data placement on the SLC and QLC pages. In another improvement, the FCM3 had built-in 3-to-1 data compression and encryption with no performance penalty. You could now write up to 28.8 TB to a 9.6 TB FCM. The compression algorithm stayed exactly the same.

In 2024, IBM introduced FCM4. IBM introduced another industry leading breakthrough called Ransomware Threat Detection, which is a process that identifies and responds to security threats before they can damage data or systems. The FCM4 collects detailed statistics on every I/O operation (IOP) for each virtual disk (VDisk). This data is then intelligently summarized for efficient processing. The FCM4 transmits this summary to Storage Virtualize, which relays it to an AI-powered inference engine. This engine can identify unusual activity, like potential ransomware attacks, in under a minute. Upon detection, an immediate alert is sent to IBM Storage Insights Pro, allowing for swift action. Additionally, the information can be shared with IBM Storage Defender if available, further strengthening your security posture.

**Note:** The ransomware threat detection enablement requires FCM4 drives running FCM firmware 4.1 or later, plus IBM Storage Virtualize 8.6.3 or later.

There is also the ability to upgrade up to IBM Storage Virtualize 8.6.3 and FCM firmware 4.1 with an existing array, assuming you initially created your array with FCM4 and IBM Storage Virtualize 8.6.2 or later.

IBM also advanced the data reduction abilities of the FCM4 by supporting the ability to have up to 3-to-1 data compression if the user has the right type of data. In a move to further optimize performance, all FCM4s are based on PCle Gen4. We also moved to support the latest 176 layer flash from Micron with Charge Trap NAND and kept the endurance required.

IBM pioneered custom inline compression and encryption into their FCM, which again has no impact on performance.

Figure 3 shows a summary of the evolution of the FCM technology.

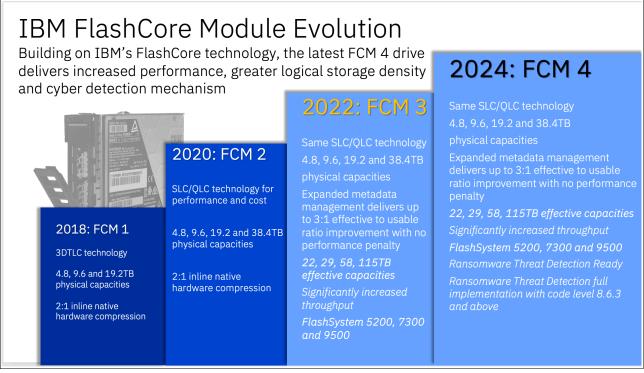


Figure 3 IBM FCM evolution

**Note:** All capacities are PCle G4 with FCM4 versus FCM3. The 4.8 TB and 9.6 TB drives are PCle G3.

The new FCM4 drives will be offered on IBM FlashSystem 7300 and IBM FlashSystem 9500, but they will also work on FlashSystem 5300 and 5200.

#### FCM facts and features

In the early days of flash, may people were discussing comparisons of Serial-Attached SCSI (SAS) hard disk drives (HDDs) and SAS SSDs. The performance was much better with flash but there were concerns about longevity.

The conversation around solid-state storage transitioned several years ago, with a focus on comparing traditional SAS SSDs to the then-new NVMe technology. NVMe SSDs offered a significant leap in performance. While reliability and longevity of the underlying flash memory remained comparable between the two technologies, NVMe's performance edge became the dominant factor.

However, as flash ages on commodity SSDs, errors occur. This is often due to improper gate activity. SSDs actually do not have moving parts, and we should not consider the gate as a moving part. It is more about forcing electrons through an insulator. Every time that the electrons are forced through this insulator, the insulation layer is damaged, which results in leakage. This makes the cells worse at being able to hold a specific voltage level, which is how the wearing of a cell occurs.

Cells can be retired if they are not able to hold a voltage. Pages and blocks can also be retired, and this is where over-provisioning helps. Eventually enough of the blocks wear out, and this results in the SSD not being able to support the full capacity it was originally designed for, resulting in the SSD becoming inoperative. To address this issue, IBM has implemented Variable Voltage technology into its FCM.

IBM performs dynamic read level shifting over the life of the flash blocks in the FCM. This ensures that all flash cells are monitored and automatically have the necessary voltage applied to deliver the longest possible lifespan. Because IBM monitors all flash cells, it continuously implements predictive techniques to adjust internal flash settings in advance, thereby minimizing the probability of uncorrectable errors.

The advanced characterization lab enables IBM Flash developers to proactively determine the best voltage levels to set for a block as it ages. This capability is unique to IBM, as they develop their own flash memory technology, which allows them to keep older flash memory fully productive.

The business benefits of FCM is that Variable Voltage brings a long, reliable life to the flash in the FCM. IBM is the only flash vendor who provides this highly unique and desirable capability.

More recently the conversation centers around comparisons of NVMe SSDs and FCM. Both devices employ the NVMe communication protocol, and both are very fast. However, FCM deliver longevity protection and they typically prove to be significantly less costly on a per-terabyte (TB) basis due to the built-in hardware compression, which comes without a performance penalty.

There are some competitors who can address one or two things that FCM do, but none of them can provide the long list of technology found in FCM or the value that technology delivers to clients.

One of the most important points to understand about FCM is that the numerous unique capabilities are only possible because IBM has the ability to monitor every flash cell. This enables IBM to perform custom operations to optimize numerous aspects of the flash. You obtain more robust flash, which helps to ensure continuous operations.

The innovative design of these custom-designed modules delivers numerous real-world business benefits as follows:

## Low latency

Clients can experience read cache latency as low as 50 microseconds, which helps remove bottlenecks in their workloads. FCM enable low system latency by off-loading data reduction and freeing the storage software from doing metadata management and garbage collection. The FCM has a significant amount of IP to minimize the effects of internal garbage collection and storing frequently accessed data in SLC.

#### Enhanced endurance

A huge, albeit less obvious benefit of the FCM, is its greatly enhanced flash endurance that delivers up to 7 times greater flash endurance than an industry-standard, commodity SSD. This translates to fewer issues for clients that do not have to be spent dealing with failing SSDs and drive rebuilds.

#### No impact compression technology

Implementing added benefits, such as data compression and wear leveling, completely in hardware with no processor intervention ensures that these enhancements do not slow down the rest of the storage system.

The compression technology originated with the IBM Mainframe group and has been adapted to work in IBM FlashCore flash controllers. It is performed as the first step in the inbound data path, and decompression is the last step in the outbound data path. This minimizes the amount of data written to flash, which in turn helps extend the longevity of the flash. With multi-level techniques for wear leveling and the new ransomware detection capabilities, FCM are indeed computational storage devices.

Note: Compression is always active in FCM. It cannot be switched off.

## **Data write protection**

Data write protection, or ECC, is implemented on top of compressed data and therefore across more data. This allows the delivery of even better performance. ECC utilizes a hard-decision decoding approach, which offers several advantages:

- High Correction Strength: It boasts a high capability to correct errors within the data.
- ► Reduced Read Latency: Hard decisions eliminates the need for re-reading data, resulting in faster operation.

Compression and decompression are completely transparent above the FCM, except for space management.

#### **SLC and Smart Data Placement**

SLC is used as both a staging area before moving data to QLC and as permanent storage, depending on physical capacity utilization.

At approximately 20% physical capacity utilization and below, logical data is primarily stored almost completely in SLC memory. While the endurance and performance numbers are not based on SLC storage, the lifespan of the drive may be extended for low capacity utilizations. As capacity utilization increases beyond 20%, the FCM will move data stored in SLC blocks to QLC blocks and will transition these SLC blocks to QLC. The controller aims to transition blocks to QLC such that it does not significantly affect the performance of the user data being written from the host. If the FCM detects that it cannot keep up with the host pace, such as high-bandwidth sequential writes, it will lower the write amplification by bypassing the SLC and directing incoming host data directly to QLC to allow for the best possible write bandwidth on the FCM. At approximately 80% capacity utilization and above, user data will be almost completely stored in QLC.

Once data is moved from SLC to QLC read latency may be increased as QLC pages on average have a higher read latency than SLC. However, in real-world applications, such as database transactions, workloads are largely skewed. This means that some percentage of the logical space of the drive sees more accesses than the remainder of the drive.

The FCM2, FCM3, and FCM4 use QLC NAND devices that have tiered read latency based on page type. The tiered latency of the different page types allows the FCM to place data that is read often in the fastest pages of NAND to match SLC speeds. Alternatively, it will place cold read data in the long-latency QLC pages. This function is called *Smart Data Placement*.

Smart Data Placement is designed to allow SLC performance in QLC with real-world applications.

FCM drives utilize the following features and functions:

- ► The FlashSystem data compression/decompression algorithm is a Modified Dynamic GZIP algorithm.
- ► FCM drives take advantage of already existing LSA mapping.
- ► There is less data to transfer in back-end making up for small added latency.
- ▶ Decompression is performed in line with minimal latency addition.
- ▶ Data is concurrently decompressed and compressed without any risk of corruption, ensuring seamless integration of data processing tasks.
- ► ECC is implemented on top of compressed data. Hardware-based decompression allows garbage collection and other background data transactions to operate on compressed data without significant latency impact.
- ► Compression and decompression are completely transparent above the Flash module, except for the management of space required for compressed data.

## Variable Stripe RAID

IBM has implemented a technology called *Variable Stripe RAID (VSR)* on each FCM drive. Each individual module performs chip-level RAID on the flash within itself. If one die fails in a chip stripe, then only the failed die is bypassed. The data is restriped across the remaining chips and no system rebuild is required. This is significant because VSR helps reduce maintenance intervals caused by flash failures. Importantly, VSR helps avoid performance robbing system-level intervention most of the time.

There are many business benefits associated with this technology. VSR non-disruptively protects data from a chip level failure. By dynamically re-striping data at a sub-chip level, IBM can ensure continuous business operations. *The bottom line is that VSR helps preserve the life of a company's flash while also providing data protection and performance.* 

IBM is the only vendor to deliver VSR for multiple dimensions of RAID protection while maintaining peak performance. The multiple dimensions comes from also factoring in system-level RAID protection. The good news is that many of the things that would normally require intervention by system-level RAID are not a problem for IBM solutions because they are dealt with at the module-level. This ability to have variable stripe widths, even though RAID 0 is extremely important, allows us to stripe across the die, meaning that the firmware can have any number of dies in that stripe. VSR can adjust the number of data blocks used in a stripe. In normal operation, a stripe might use 20 blocks. However, if one block becomes unavailable (retired or busy), VSR can create a new stripe using the remaining 19, 18, or 17 functional blocks.

**Note:** IBM no longer supports RAID 5 within its storage virtualization layer, but we incorporate RAID 0 at the FCM level, taking advantage of its variable stripe feature as a crucial element of our architecture.

## **IBM differentiation: FCM**

IBM has achieved comparable endurance levels for QLC memory as for TLC memory, resulting in superior performance for QLC-based storage devices compared to their TLC counterparts.

- ► An SSD-integrated compression accelerator, powered by a Field Programmable Gate Array (FPGA), efficiently handles the data compression process, positioning itself as the unique SSD solution on the market offering this capability.
- ► The storage controller gets compression completely transparently. The data path runs exactly the same as without compression.
- ► A log structured array in this SSD configuration does not require data remapping or metadata management, featuring a QLC memory-only design.
- ▶ The FPGA has no complex tasks resulting in improved sustainability.
- ► Each write in each FCM goes to a new unused area of the drive. This is not done at the Storage Virtualize layer. Garbage collection runs in the background to reclaim these flagged areas.

Figure 4 shows the internal layout of the FCM.

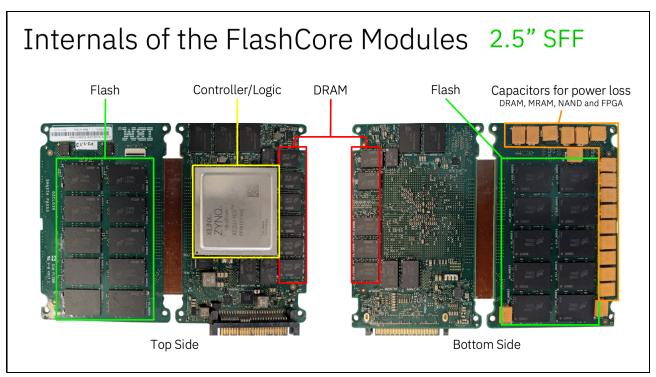


Figure 4 Layout of the FCM

The FCM is custom designed by IBM to meet the needs of a transforming storage market. The design employs FlashCore Technology by IBM to solve problems that nearly all clients encounter.

Figure 5 shows the internal components of the FCM and what each part does.

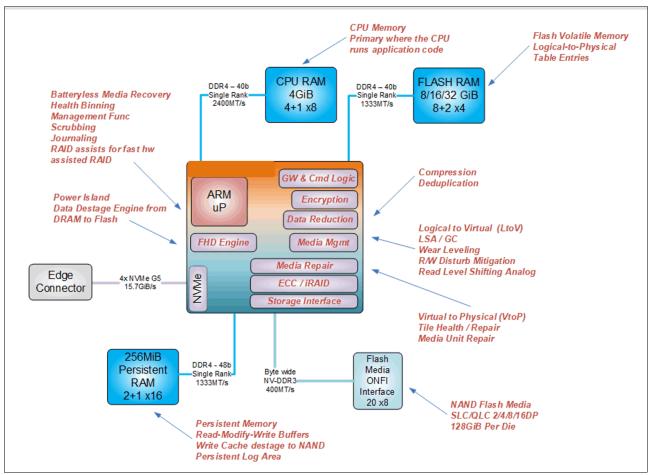


Figure 5 FCM internal component description

## FCM generations

This section describes the types of FCM drives that can be installed in the IBM FlashSystem control enclosures: FCM1, FCM2, FCM3, and FCM4. The various iterations of the product share the same physical storage capacity, but their effective capacities differ due to the integrated hardware compression and encryption features. Table 2 outlines FCM capacities:

Table 2 FCM type capacities

FCM size	Physical size (TBu)
Small	4.8 TBu
Medium	9.6 TBu
Large	19.2 TBu
XLarge	38.4 TBu

**Note:** The XL FCM drives require IBM Storage Virtualize 8.3.1 or later to be installed on the IBM FlashSystem control enclosure.

The following IBM FlashSystem products support all capacity versions of these drives:

- ► 5200, 5300, 7300, 9500, 9500R Rack Solution<sup>1</sup>
- ► 5100, 7200, 9200, 9200R Rack Solution<sup>2</sup>

Figure 6 presents a comprehensive comparison of all available FCM versions, highlighting their distinct features and specifications.

	Intro	duced in :	2018		Introduce	ed in 2020			Introduce	ed in 2022			Introduce	ed in 2024	
	Fl	ashCore Modul	e1		FlashCore	Module 2		FlashCore Module 3			FlashCore Module 4				
Capacity Point	Small	Medium	Large	Small	Medium	Large	X-Large	Small	Medium	Large	X-Large	Small	Medium	Large	X-Large
NAND Dies	2DP	4DP	8DP	2DP	4DP	8DP	16DP	2DP	4DP	8DP	16DP	2DP	4DP	8DP	16DP
Flash Technology	Triple-level cell (TLC)	Triple-level cell (TLC)	Triple-level cell (TLC)	Quad-level cell (QLC)	Quad-level cell (QLC)	Quad-level cell (QLC)	Quad-level cell (QLC)	SLC / QLC	SLC / QLC	SLC / QLC	SLC / QLC	SLC / QLC	SLC / QLC	SLC / QLC	SLC / QLC
Usable Capacity	4.8 TB	9.6 TB	19.2 TB	4.8 TB	9.6 TB	19.2 TB	38.4 TB	4.8 TB	9.6TB	19.2 TB	38.4 TB	4.8 TB	9.6TB	19.2 TB	38.4 TB
Effective Capacity	~9.6 TB	~19.2 TB	~38.4TB	~22 TB	~22 TB	~44 TB	~88 TB	22 TB	28.8 TB	57.6 TB	115.2 TB	22 TB	28.8 TB	57.6 TB	115.2 TB
PCIe	Gen 3	Gen 3	Gen 3	Gen 3	Gen 3	Gen 3	Gen 3	Gen 3	Gen 3	Gen 4	Gen 4	Gen 4	Gen 4	Gen 4	Gen 4
Read Bandwidth	2,000 MB/s	2,000 MB/s	2,000 MB/s	2,200 MB/s	2,200 MB/s	2,200 MB/s	2,200 MB/s	2,300 MB/s	2,300 MB/s	3,500 MB/s	3,500 MB/s	2,200 MB/s	2,200 MB/s	3,500 MB/s	3,500 MB/
Write Bandwidth	500 MB/s	500 MB/s	500 MB/s	690 MB/s	690 MB/s	690 MB/s	690 MB/s	750 MB/s	750 MB/s	1,500 MB/s	1,500 MB/s	750 MB/s	750 MB/s	1,500 MB/s	1,500 MB/
Read IOPS (16K)				65K	65K	65K	65K	100k	100k	150k	150k	200k	200k	200k	200k
Latency Read/Write	100μs/20μs	100μs/20μs	100μs/20μs	77μs/μs	77μs/μs	77µs/µs	77µs/µs								
Power Envelope	25W	25W	25W	25W	25W	25W	25W	25W to 30W	25W to 30W	25W to 30W	25Wto 30W	25W to 30W	25W to 30W	25W to 30W	25W to 30V
Intrusion/Ransomware detection	No	No	No	No	No	No	No	No	No	No	No	Yes	Yes	Yes	Yes
Most likely FlashSystem targets		n 5100, FlashS System 9110/		FlashSystem 5100, FlashSystem 5200, FlashSystem 7200, FlashSystem 9200			FlashSystem 5200, FlashSystem 7300, FlashSystem 9200/9500/9500R			FlashSystem 5200, FlashSystem 7300, FlashSystem 9500/9500R					
Capacity, Benefits and Values	Plach Endurance W/ TLC Plach Endurance W/ QLC				Flash ndurance SLC/QLC Hinting				Ransomware Threat Flash Endurano SLC/QLC Hinting	<u> </u>	3				
	Physic	al Eff	ective		Physical	Effectiv	ve.	Р	hysical	Effect	ive		Physical	Effec	tive
	PHYSIC	al Ell	ective		Physical	Ellecti	ve	Г	Hysical	Ellect	ive		riiysicai	Ellet	live

Figure 6 FCM summary and comparisons

<sup>&</sup>lt;sup>1</sup> FCM4 drives are exclusively compatible with the IBM FlashSystem 9500, 7300, 5200 and 5300 systems and cannot be used with any expansion enclosures (All the FlashCore Modules, regardless of generation, are NVMe drives. Therefore, they can be installed in the control enclosures for the systems and not in any of the expansion enclosures).

 $<sup>^{2}\,</sup>$  FCM3 was not supported in the 5100, 7200 and 9200.

#### Notes:

- ► All capacities are PCIe G4 with FCM4. With FCM3, the 4.8 TB and 9.6 TB drives were PCIe G3 and the 19.2 TB and 38.4 TB drives were PCIe Gen 4. All FCM1 and FCM2 drives were PCIe Gen3.
- ► FCM2 is compatible with older generation systems installed with FCM1, and FCM4 is designed to be compatible with FCM3.

The first generation of FCM drives were built by using 64-layer TLC flash memory and an Everspin Magnetoresistive Random Access Memory (MRAM) cache into a U.2 form factor.

Compared to previous generations, FCM4 takes a significant leap in performance by utilizing 176-layer QLC NAND flash memory. FCM generations 2 and 3 relied on 96-layer QLC NAND. These later FCM generations employ a technique called *pseudo-Single-Level Cell (pSLC) caching*. This innovative approach reserves a portion of the flash memory and operates it in a mode that mimics SLC flash. This delivers several benefits:

- ► Improved performance: pSLC caching significantly boosts performance by enabling faster read and write operations.
- ► Reduced latency: In pSLC mode, the memory cells are pre-charged before being accessed, reducing the latency associated with accessing data.
- ▶ Dynamic read cache on-device: The pSLC cache acts as a dynamic on-device buffer, further accelerating data retrieval.

Figure 7 illustrates an FCM NVMe drive with a capacity of 19.2 TB.



Figure 7 FCM (NVMe)

Built for speed and efficiency, IBM FCM drives are the ideal solution for handling your most demanding workloads:

- ► Parallel processing powerhouse: Their high-parallelism architecture tackles complex tasks efficiently, delivering exceptional performance.
- Optimized for 3D QLC NAND Flash: Leveraging cutting-edge 3D QLC NAND technology, these drives offer significant performance gains and impressive storage capacity.
- ► Advanced FPGA technology: Updated FPGAs (Field-Programmable Gate Arrays) accelerate data processing, further boosting performance.
- ► Minimized latency for compressed data: The integrated read cache ensures smooth performance even when working with highly compressed data, minimizing lag.
- ► Reduced write power consumption: Efficient four-plane programming optimizes write operations, lowering power usage without sacrificing speed.

Note: FCM Generation 3 and 4 drives offer hardware-assisted compression up to 3:1.

At the time of writing, the FCM3 is currently undergoing Federal Information Processing Standard (FIPS) 140-3 Level 2 certification. FCM4 will be undergoing the same certification shortly. Those certifications take 1 - 2 years to complete. They are currently both FIPS 140-3 Level 1 compliant.

All FCM drives carry IBM Variable Stripe RAID (VSR) at the FCM level and use Distributed RAID (DRAID) to protect data at the system level. VSR and DRAID together optimize RAID rebuilds by off-loading rebuilds to DRAID, and they offer protection against FCM failures.

## IBM FlashCore technology

The core of the IBM FlashSystem is built upon IBM FlashCore technology, which encompasses several key components when IBM FCM NVMe type drives are ordered:

- ► Hardware-accelerated architecture that is engineered for flash, with a hardware-only data path.
- ► The IBM FlashSystem data compression and decompression algorithm is a modified dynamic GZIP algorithm. Because it is implemented completely in hardware, no processor intervention is required.
- ► FCM drives, which are designed for low latency, density, and reliability.
- ► IBM Advanced Flash Management, which improves flash endurance over standard implementations without sacrificing latency.
- ▶ Depending on the machine type and model of the control enclosure, the largest enclosure can contain up to 48 FCM drives.

Figure 8 shows the IBM FlashCore technology.

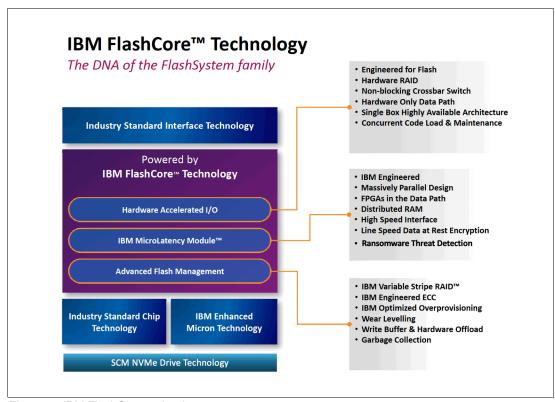


Figure 8 IBM FlashCore technology

For more information about IBM FlashCore technology, see Andy Walls on IBM FlashCore.

#### **Data reduction tools**

Compression and de-duplication are key features of IBM FlashSystem with FCM technology, significantly reducing storage requirements. To help customers estimate potential space savings, IBM offers the IBM FlashSystem Comprestimator and Data Reduction Estimator Tool (DRET) tools. These tools can recognize the patterns of the client data, and estimate the compressibility of data per volume.

IBM FlashSystem models are compatible with the IBM Comprestimator, a stand-alone tool that estimates the compression savings and estimated usable capacity for user data within the system. This tool is accessible through the FlashSystem GUI, allowing administrators to quickly and easily assess the potential benefits of implementing compression within their storage environment.

For more information about DRET, see Data Reduction Estimator Tool.

For more information about IBM FlashSystem Comprestimator, see IBM Comprestimator.

To determine the optimal storage requirements, select your preferred data reduction method and utilize the provided tools for storage usage estimation. Figure 9 shows how to start the Estimate Compression Saving option from the FlashSystem 9100 GUI.

From the main menu, click **Volumes**  $\rightarrow$  **Volumes**. Next, select one volume. Then, right-click to open the pop-up menu. From this menu, select **Capacity Savings**  $\rightarrow$  **Estimate Compression Saving**.

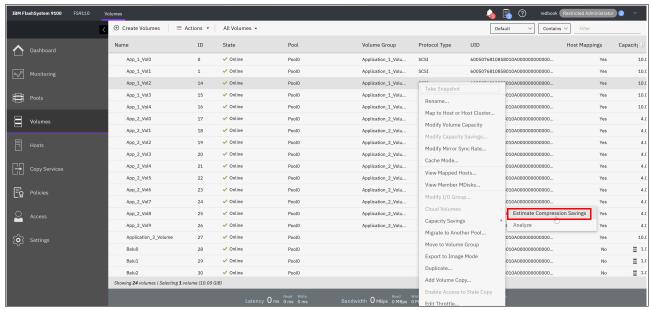


Figure 9 Estimate Compression Savings from the GUI

To estimate compression and savings on FCM and DRP approaches:

- ► IBM FlashCore Module IBM FlashCore Module Compression:
  - Use the FCM option.
  - Do not use the Estimate Compression Saving option in the GUI to calculate the FCM savings.

- ► Data Reduction Pool compression:
  - Use the DRP option.
  - Workloads that are on any IBM Storage Virtualize platforms can use the Estimate Compression Saving option in the GUI.
- ► Data Reduction Pool compression and deduplication:
  - IBM Comprestimator and Data Reduction Estimator Tool shows the savings for thin-provisioning, compression, and deduplication.
  - IBM Comprestimator and Data Reduction Estimator Tool reads entire volumes to identify de-duplicated data, so it takes longer to run.

For more information about data reduction pool compression and setup, see *Introduction and Implementation of Data Reduction Pools and Deduplication*, SG24-8430.

# Manageability and security

The following are the manageability and security features:

- Advanced security for data at rest with hardware-accelerated Advanced Encryption Standard AES-XTS 256 encryption.
- ► IBM obtained FIPS 140-3 Level 1 certification for FCM3 in the IBM FlashSystem products. This level of certification is fully supported by the FCM3 modules in the system.
- ► FCM4 also supports RSA and CRYSTALS Kyber cryptography. Secure Key Passing (SKP) data is encrypted twice, once by each cipher.
- ► CRYSTALS Kyber is a Quantum Safe Cryptography (QSC) algorithm.

## **Encryption**

Like its predecessors, IBM FlashSystem data encryption is based on the industry standard AES-XTS 256 encryption, as defined in the IEEE 1619-2007 standard and NIST Special Publication 800-38E as XTS-AES-256. The data encryption key is protected by a 256-bit AES key wrap of a key that is derived from the access key that is stored on the USB flash drive. The wrapped key is stored in the system in nonvolatile form.

Note: For more information about FlashSystem encryption, see Encryption overview.

## **Self-encrypting drives**

The FCM in the IBM FlashSystem control enclosure are self-encrypting drives (SEDs). With SEDs, you can encrypt the data on the drive within the hardware.

These types of flash drives include:

- ► Encryption of data in the electrical circuit of the drive, as opposed to software-based encryption, ensures that encryption and decryption processes are performed directly on the data without the need for software intervention.
- ▶ Data Encryption Keys (DEK) never leave the confines of the SED, and are never loaded into CPU or memory.
- ➤ You can perform a fast cryptographic erasure of a SED by using a single CLI command to replace the DEK or revert the entire device to factory settings.

- ► *Auto-lock* that protects against someone plugging your drive into another system and accessing your data.
- ▶ Drives automatically lock themselves on power loss and require an access key at start time to unlock and allow I/O operations.
- ▶ If an SED drive is removed from a system with encryption and placed in another system, the drive data is not readable. The system posts an error message that indicates it is locked. The only way to use the drive is to format it. This formatting also performs a cryptographic erase by removing any encryption keys; therefore, all of the data on the drive is destroyed.

## Combining system encryption with self-encrypting drives

For control enclosures that support NVMe architecture, NVMe-attached drives are self-encrypting and self-compressing. With SEDs that use NVMe architecture, data encryption is completed in the drive. Data encryption keys remain on the drive without being stored in system memory.

In addition, the system supports automatic locks of encrypted drives when the system or drive is powered down. When the drive or system restarts, a master key is required to unlock the drive and continue I/O operations.

Because the encryption of data is done in the electrical circuit of the drive, it is not affected by any potential performance issues from software encryption.

**Note:** If SEDs are encrypting the data, you can use SEDs without enabling encryption on the system. However, SEDs are typically unlocked by default at startup time, unless configured with additional security measures to prevent unauthorized access.

System-level encryption in IBM Storage Virtualize allows you to use USB flash drives or IBM Security® Guardium® Key Lifecycle Manager to manage access to encrypted objects on the system. This feature ensures that when a system is powered, this extra encryption key is required to read the data on the drives.

Consider the following points:

- ► SEDs are always encrypting, and you cannot stop them from being encrypted.
- ➤ You can use SEDs without enabling encryption on the system, but SEDs are unlocked by default unless they are configured with extra protection.
- With system encryption in IBM Storage Virtualize, you can use USB flash drives or IBM Security Guardium Key Lifecycle Manager to manage access to encrypted objects on the system.
- ► Software in the operating system is required to manage an access key that can be used to lock and unlock the SEDs and bring them online for I/O.

The best solution is to use the SEDs with the Encryption Enablement Pack and USB or IBM Security Guardium Key Lifecycle Manager type encryption, or a mixture of both. This configuration ensures the maximum level of system data encryption.

## Secure drive erasure process

The IBM FlashSystem system running IBM Storage Virtualize 8.5.0 or later provides methods to securely erase data from a drive or boot drive when a control enclosure is decommissioned or before a drive is removed from the system during a repair activity.

Secure data deletion effectively erases or overwrites all traces of data from a data storage device. The original data on that device becomes inaccessible and cannot be reconstructed. You can securely delete data that is on individual drives and on a boot drive of a control enclosure. The methods and commands that are used to securely delete data enable the system to be used in compliance with European Regulation EU2019/424.

The following types of drives can be used for this process:

- ► Expansion enclosure SAS SSDs.
- ► NVMe drives (FCM drives and industry standard).
- Control enclosure node canister SSD boot drives.

The methods that the system uses to securely delete data from the drives varies according to the command-line interface (CLI) commands that each type of drive can support. The completion time for the erase procedure also varies, depending on the amount of data and the method that is used to delete the data. In each case, when the operation completes, the data on the drive effectively becomes impossible to access.

Table 3 lists the types of erasure, the methods used, and the time taken.

Table 3 Comparison of methods to securely delete data from drives

Priority	Deletion type	Method	Completion time
1	Cryptographic erase	Changes the encryption key and makes the data inaccessible.	Instant
2	Block erase	Quickly raises and lowers the voltage level of the storage element. Physical blocks are altered with a vendor-specific value.	Fast
3	Data Overwrite	Replaces the existing data with random data.	Slow

The methods that are used to securely delete data vary according to manufacture, drive type, and drive firmware. For more information, see the documentation that is provided by the drive manufacturer.

If a drive supports multiple deletion methods, the system uses the highest-priority method.

For more information, see this Secure data deletion.

## Reliability, availability, and serviceability

Variable Stripe RAID technology is a sophisticated data redundancy and fault tolerance technique that is integrated into the IBM FlashSystem. This technology dynamically adjusts the RAID stripe width based on the number of flash chips available, helping to reduce downtime, maintain performance, and preserve capacity during partial or full flash chip failures.

## **FCM NVMe drive options**

FCM drives combine IBM MicroLatency technology, advanced flash management, and reliability into a 2.5-inch SFF NVMe with built-in, performance-neutral hardware compression and encryption.

The following FCM NVMe flash drives are available for the IBM FlashSystem 9500, feature codes are machine-specific. The available options for a IBM FlashSystem 9500 are as follows:

- ► (#AHS9): 4.8 TB NVMe FCM3.
- (#AHSA): 9.6 TB NVMe FCM3.
- ► (#AHSB): 19.2 TB NVMe FCM3.
- ► (#AHSC): 38.4 TB NVMe FCM3.

For the FCM4 we have some extra feature codes as follows:

- ► (#AHSE) 4.8 TB NVMe FCM4.
- ► (#AHSF) 9.6 TB NVMe FCM4.
- ► (#AHSG) 19.2 TB NVMe FCM4.
- ► (#AHSH) 38.4 TB NVMe FCM4.

Consider the following points regarding limitations and drives:

- ► FCM drives:
  - Maximum 48 NVMe Drives. Normal ones: max 128.
  - Minimum-Maximum member drives per DRAID-6 array 6-128.
  - Minimum-Maximum member drives per DRAID-6 array (NVMe drives) 6-48.
  - Minimum-Maximum member drives per DRAID-1 array 2-16.
- ► FCM drives in the same DRAID array must be of the same capacity.

# **New FCM developments**

Throughout the development of the FCM technology we have gone through various versions of FCM, which were designated FCM1, FCM2, FMC3, and now FCM4.

Each disassociation was a result of the FCM technology rather than the capacity as all of these variations had the 4 capacity sizes. The technology changes meant better access times and improved throughout.

## Inline data corruption detection

Inline Data Corruption Detection (IDCD) is a proactive data integrity solution that leverages the inherent patterns within data structures to identify anomalies in real-time during data access, both reading and writing. By monitoring these patterns, IDCD can detect potential data corruption before it becomes critical, ensuring the accuracy and reliability of stored information. IDCD was made available in the IBM Storage Virtualize 8.6.0, where the detections of the potential ransomware attack was calculated in the storage virtualize layer.

The majority of cyber-attacks take the form of ransomware, wherein the data on the storage array is encrypted by the attackers, thus making it unreadable by the legitimate owner. By looking at the read/encrypt/write actions on the arrays we can alert the customer to the fact that this type of activity is happening.

Note that similar activity patterns can occur for legitimate reasons. For instance, a customer might choose to encrypt specific data stored on the arrays.

#### Ransomware threat detection

The latest release of the IBM Storage Virtualize 8.6.3 and later, coupled with the new FCM4, provide even great real-time protection to ransomware detection The FCM4 drive includes specialized hardware components designed to assist in fighting cybercrime. IBM has announced inline corruption detection that uses AI and ML to help detect ransomware attacks. This announcement and implementation is a combination of the new FCM4 technology and IBM Storage Virtualize 8.6.3 and later.

**Note:** The ransomware threat detection enablement requires FCM4 drives running FCM firmware 4.1 or later, plus IBM Storage Virtualize 8.6.3 or later.

With an existing array that was initially created with FCM4 drives and IBM Storage Virtualize 8.6.2 or later, it is possible to upgrade to IBM Storage Virtualize 8.6.3 and FCM firmware 4.1.

FCM4 is a form of computational storage that incorporates advanced hardware and software technologies to provide high-performance computing capabilities within a storage system. The FCM4 drive adheres to industry standards for computational storage, which enables the functions and capabilities of the FCM4 to be easily updated and adapted in the field through simple firmware updates.

Since these updates are not applied to the data stream, they do not impact the performance or functionality of the FCM drives.

## New ransomware threat detection within Storage Virtualize

In the context of storage systems, entropy can be used to describe the degree of disorder or randomness in the data distribution across the storage media.

- ► File entropy is measured on a scale from 0 8, with 0 indicating no randomness (like a plain text file with repeated patterns) and 8 indicating maximum randomness (like a random noise file or a highly encrypted file).
- ► The more a unit can be compressed, the lower the entropy value; the less a unit can be compressed, the higher the entropy value.
- Entropy is used to detect highly random data, such as encrypted data written in by ransomware.

Figure 10 shows the entropy patterns versus data throughput and the changes in the entropy count, which could indicate a ransomware attack.

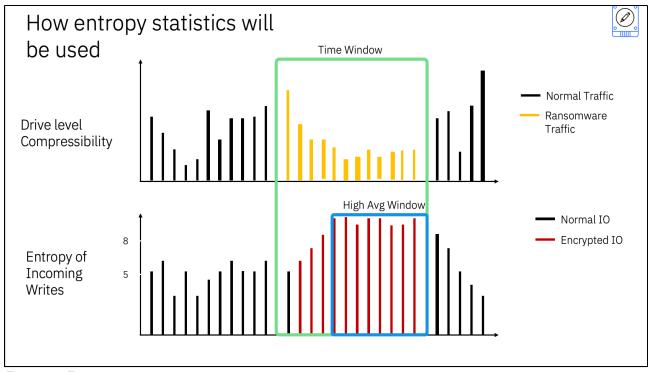


Figure 10 Entropy count patterns

In this example, the system has detected a decrease in compressibility and an increase in the entropy of incoming writes. This may be indicative of a cyberattack.

IBM Storage Virtualize software will sample Entropy on every volume and send that back to IBM Storage Insights Pro, using a process as you see here:

- 1. Detailed compressibility results are captured and sent back to IBM more frequently.
- 2. IBM Storage Insights Pro will analyze these statistics.
- 3. Alerts will be raised if a workload anomaly has been detected. It is like encryption turned on in an application.
- 4. Alerts will be tech previewed and clients can opt in.
- 5. If call home is enabled, alerts also go to support.

Figure 11 on page 25 shows the characteristics found in an I/O trace from ransomware attack and example of the entropy stages during an attack. This example shows the "Wannacry" virus patterns.

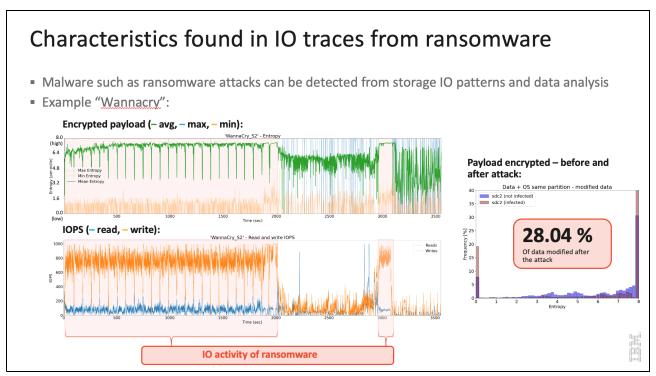


Figure 11 Characteristics found in an IO trace from ransomware

**Note:** Graphs like the one shown in Figure 11 are only available to product development for visualizing potential I/O activity during a ransomware attack. These graphs are not visible to customers or business partners.

Figure 12 on page 25 illustrates the logical model for FlashSystem Ransomware Detection, which outlines how entropy detection is handled within the system. The figure shows how the entropy detection is first processed by the IBM Storage Virtualize code, which calculates the entropy values for the data and compares them to expected values. If any anomalies are detected, the IBM Storage Virtualize code triggers an alert and passes the information to IBM Storage Insights Pro for further analysis and alerting the customer.

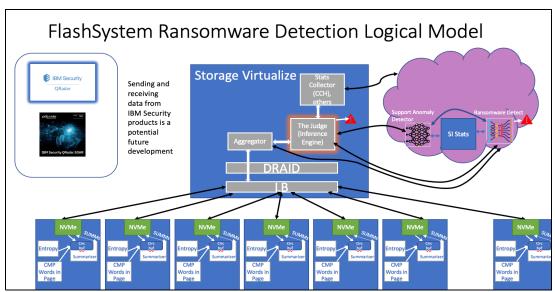


Figure 12 FlashSystem Ransomware Detection conceptual model

The FCM4 and the inference engine inform you of vital signals to send back to IBM Storage Insights Pro:

- ► These signals will be analyzed using AI Ops Machine Learning.
- ► This analysis will leverage idle network resources (bandwidth) while prioritizing critical system operations to avoid impacting host performance.
- ► FCM4 engine will take real time signals and summarize them.
- ► IBM Storage Virtualize will take all this information, collate it, and send summaries to IBM Storage Insights.
- ► There will be an inference engine in every FlashSystem NVMe system.
  - These engines will be fed information from Machine Learning models on anomalies and ransomware attacks.
  - They will learn what is normal for that system.
  - They will detect anomalous and dangerous behavior and take action.
  - This inference engine will be regularly updated.

#### **Future of FCM**

IBM continues to improve the FCM family with the indirection on the FCM4 in 2024. This builds on the technology of the previous FCM drives with the following enhancements:

- ► The FCM4 drive features the latest 2.5" dual-ported U.2 NVMe form factor, which provides faster data access, improved performance, and better energy efficiency compared to traditional storage interfaces.
- ► The FCM4 drive incorporates a sophisticated Flash Translation Layer (FTL) and flash accelerators for external storage controllers, which enable the drive to manage and optimize data access and transfer more efficiently.
- ► The FCM4 drive utilizes 176-layer Micron QLC flash memory technology, which provides higher storage density and lower cost per gigabyte compared to previous generations. This technology also enables the FCM4 drive to offer higher storage capacities while maintaining competitive pricing.

► The FCM4 drive features new computational storage engines that enable the drive to perform advanced data processing and analysis functions, such as data compression, decompression, and encryption, in real-time, without impacting the performance of the drive.

As technology improves and the integration of hardware and software within the FCM becomes tighter, IBM will strive to encompass these enhancements into the future generations of FCM and implementation into future IBM FlashSystem products.

## **Related information**

For more information, see the following resources:

► IBM Documentation for IBM FlashSystem:

https://www.ibm.com/support/knowledgecenter/en/search/flashsystem

► IBM FlashSystem 9500 product page:

https://www.ibm.com/products/flashsystem-9500

► IBM FlashSystem Family Overview FAQ

https://www.ibm.com/downloads/cas/90GKVW2R

► IBM FlashWatch FAQ

https://www.ibm.com/downloads/cas/YVMYPEDE

- ▶ IBM FlashCore Module Cryptographic Erase, REDP-5529
- ▶ IBM Offering Information page (announcement letters and sales manuals):

https://www.ibm.com/docs/en/announcements

► IBM Storage Virtualize FAQ

https://www.ibm.com/downloads/cas/2DWAMWRB

▶ Introduction and Implementation of Data Reduction Pools and Deduplication, SG24-8430

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